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Form 4001 Rev. 1/2024

Filing Fee: \$300



DATA BROKER APPLICATION / RENEWAL

☐ Initial Registration Statement ☐ Application for Renewal of Registration	Registration Number:		
	roker Information		
Legal Name:			
Physical Address:			
Street	City	State	Zip Code
Email Address:	Telephone Number:		
Internet Website Address:			
Name of Contact Person:			
Cate	egories of Data		
Describe the categories of data processed and	transferred (Please be specif	ic):	
Submi	itter Information		
Legal Name:			
Phone:	Email:		
Physical Address:			
Street	City	State	Zip Code
Mailing Address:			
Street	City	State	7in Code

Credentialing Process

Complete one of the following:
☐ A. The data broker implements a purchaser credentialing process.
OR
B. The data broker does not implement a purchaser credentialing process.
Data of a Known Child
Complete one of the following:
A. The data broker does not have actual knowledge, nor willfully disregards obtaining actual knowledge, that the data broker possesses personal data of a known individual younger than 13 years of age.
OR
☐ B. The data broker has actual knowledge, or willfully disregards obtaining actual knowledge, that the data broker possesses personal data of a known individual younger than 13 years of age.
If option B is selected, complete both of the following:
Describe the data collection practices, databases, sales activities, and opt-out policies that are applicable to the personal data of a known child:
Describe how the data broker complies with applicable federal and state law regarding the collection, use, or disclosure of personal data from and about a child on the Internet:
Security Breaches
During the year immediately preceding the year in which the application is submitted, the number of security breaches the data broker has experienced is
If known, list each breach and the total number of consumers affected by that breach: (If unknown, a statement to that effect.)

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${\bf Additional\ Information/Explanation}$

Any additional information or explanation that the the data broker's data collection practices is included in	
The following items must be included with each application 1. \$300 filing fee.	eation:
Date	Name of data broker (see instructions)
	Signature of authorized person (see instructions)
	Printed or typed name of authorized person

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